

ESTIMATES OF AADT: QUANTIFYING THE UNCERTAINTY

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30 **ABSTRACT**

31 AADT values provide a key variable in many models and policy decisions; however, these
32 are simply rough estimates of traffic counts along the vast majority of roadway sections. This
33 research quantifies the level of uncertainty in AADT estimates and compares these across
34 sampling strategies. Variations in AADT estimation errors are investigated across roadway
35 and area types, for both Minnesota and Florida automatic traffic recorder (ATR) sites. Errors
36 as a function of distance to the nearest sampling site are also studied, using predictions of
37 network travel patterns in Austin, Texas. Overall errors at ATR sites are found to be highest
38 (averaging 24.6%) when data come from misclassified sites on weekends. Spatial and
39 temporal (inter-sampling year) extrapolations can further add to such error, in a sizable way.
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41 The analytical results of this investigation suggest a variety of recommendations for agencies
42 seeking to reduce and appreciate errors in their AADT estimates. These include sampling in
43 spring and summer months (on weekdays), exercising greater caution with counts on multi-
44 lane and low-AADT roadways, pursuing appropriate site assignment to ATR groups, and
45 recognizing the effects of distance to the sampling site. With adequate attention, (average)
46 errors in AADT estimates can probably be reduced to the 10 percent level. Nevertheless,
47 these still will have an impact on investment decisions, crash rate calculations, travel demand
48 model validation, and other analyses.
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Keywords: Annual average daily traffic (AADT), traffic counts, VMT estimation, automatic traffic recorders

INTRODUCTION

AADT is a key variable in many models and policy decisions, producing VMT estimates for analyses of crash rates, evaluation of infrastructure management needs, air quality compliance and validation of travel demand model predictions. Despite their importance, AADT values are simply rough estimates of traffic counts along the vast majority of roadway sections. In the U.S. these emerge from short-period traffic counts (SPTCs) in which one- to three-day samples are taken every few years at select points across large-scale networks. These counts are factored up to a yearly estimate based on year-to-year trends, sampling season and day-of-week factors developed using data obtained from permanent automatic traffic recorder (ATR) stations.

The number and spatial frequency of ATR sites and the durations and timing of the remaining network's SPTC vary by state and by region, as well as by functional class of roadway. For example, there are 240 ATR sites in Texas, 293 in Florida and 78 in Minnesota. In Texas sampling is done for 24 hours once every five years at roughly 90,000 sites, except in non-attainment regions, where the frequency is every three years and on-system highways, where counts are done annually. In Minnesota, the sampling is done annually, for 24 hours at roughly 78 sites. Differences in protocol, from state to state and site to site, shape the uncertainty or error in the resulting AADT estimates. It is very important that analysts, including designers, planners and policymakers, have a sense of the magnitude of these errors, in order to appreciate the reliability of their results, their designs and their policies. By attaching uncertainty information to AADT estimates (e.g., via the use of confidence intervals), more accurate results can be communicated and more robust decisions made. This paper seeks to quantify the uncertainty in AADT estimates.

AADT can be determined precisely only at sites having permanent automatic traffic recorders (ATRs) that are accurately recording traffic flows throughout the year. In most states AADT is estimated by multiplying the SPTC by day of week (DOW) and month of year (MOY) factors, from the ATR group to which the site is assigned. This assignment of an SPTC to ATR groups can be rather imprecise, and different states use different methods of assignment (FHWA 2001). The error resulting from applying the factors from ATR groups to SPTC to estimate AADT is called the factoring error. In this study, this error is considered by assigning sites using simpler but intuitive classification schemes, such as the location of the site (urban versus rural), functional class of the roadway (arterial, collector and freeway) and number of lanes (4 or fewer, 5 or more) on which the site is located. The ATR count data used in the analyses come from Department of Transportation staff in Florida (293 ATR sites) and Minnesota (58 ATR sites).

It is expensive to have short term counts on all roadway segments (e.g., every mile in a network); thus, the spatial frequency and timing of SPTC varies from state to state. Due to this, many segments are assigned an AADT estimated from the nearest SPTC location. The error involved in such assignments is referred to here as the spatial error. Since we do not have access to closely spaced traffic counts, this error is studied here using travel demand modeling results for network travel patterns in the Austin, Texas region and freeway traffic counts from Performance Measurement System (PeMS) data.

1 In this research, the relative magnitudes of errors in AADT estimates due to short-term
2 sampling (i.e., day-to-day random variations in traffic counts), reliance on other sites' factors,
3 misclassification, and spatial approximation were studied using Minnesota, Florida, Austin,
4 and Southern California data sets. The following sections describe findings from related
5 literature, the data used here, along with analytical results and recommendations for sampling.

6 **LITERATURE REVIEW**

7 Despite the central nature of AADT estimates in a variety of transportation planning and
8 policy practice, relatively little work exists in this topic area. Sharma et al. (1996) studied the
9 precision of AADT estimates using traffic data from 63 ATR sites in Minnesota. The ATR
10 sites were grouped into five clusters based on their characteristics. Two of the five groups
11 represented regional routes with low seasonal traffic, one represented average rural routes,
12 and two represented routes serving recreational areas. The results of the study show estimated
13 AADT values to be off by 11% in 95% of the cases with "regional routes serving commuters
14 and business trips" enjoying the smallest AADT estimation errors and heavy-traffic rural
15 routes serving recreational areas suffering the highest errors. Sharma et al. concluded that it is
16 most important to assign a site to its correct group; incorrect assignment carries the greatest
17 potential for significant estimation error. They also found that estimation error falls only
18 moderately with count duration, from 16.5% at 24 hours to 13.13% at 72 hours. Granato
19 (1998) used a single ATR's data in Iowa to demonstrate how use of day-of-week (DOW) and
20 month-of-year (MOY) factors reduces AADT error by roughly 25%, as compared to using
21 one-day counts directly. He also found that longer counts (48 and 72 hours) contribute only
22 minimally (error falls from 11.3% to 10.9%) in improving AADT accuracy. This research
23 builds on such earlier work by investigating variability of AADT estimates across roadway
24 locations and functional classes, using both Florida and Minnesota ATR data sets. It
25 examines error for different classification schemes (including misclassification) and count
26 durations (24, 48 and 72 hours), quantifying the relative contribution of different factors.

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28 Several more recent studies have looked at improving AADT forecasts. Most involve finding
29 the most efficient (least-error) methods to predict AADT from SPTCs. In terms of AADT
30 forecasts, Lam and Xu (2000) analyzed data at 13 locations and found that neural networks
31 consistently performed better than regression analysis, and 8-hour counts (if AADT is
32 estimated from something less than a 24-hour interval) are most appropriate. Tang et al.
33 (2003) used historical and current-year partial daily flow data from a Hong Kong ATR to
34 compare four different forecasting models (including neural nets, nonparametric regression,
35 and autoregressive integrated moving average models), and they concluded that Gaussian
36 maximum likelihood methods performed best. Jiang et al. (2006) used a weighted
37 combination of past and present counts along 122 highway segments over a 10-year period to
38 estimate AADT. They concluded that accuracy improved when the averaging was applied on
39 a large scale, and that the number of SPTC could be reduced on many segments.

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41 None of the above mentioned studies has analyzed errors that come with spatial extrapolation
42 of SPTC data. However, Eom et al. (2006) recently used spatial statistics to improve AADT
43 prediction along non-freeway facilities in Wake County, North Carolina. They found that a
44 model which takes both spatial trend and spatial correlation into account provides better
45 predictions for locations where no observed count data exist. Nevertheless, the level of spatial
46 errors from simple extrapolation needs to be quantified. To address this gap in existing
47 literature, this study uses travel demand model estimates of network flows on an average
48 weekday in Austin, Texas. In this way, it is able to quantify AADT estimation error, as a
49 function of distance to sampling site. In addition, using the Minnesota and Florida ATR data,
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1 it is able to quantify the error variations associated with site classification errors (for factor
2 assignment), sample duration, day of week and month of year, roadway class, number of
3 lanes and traffic levels.

4 **DATA COLLECTION AND DESCRIPTION**

5 In this section, data sources are described and summary statistics examined. Generally, traffic
6 data are collected at permanent (ATR) and temporary sites (PTSC). At permanent sites, loop
7 detectors, weight-in-motion sensors, and/or other equipment is installed for year-round, long-
8 term vehicle detection. Temporary sites use portable sensors, for 72 hours or less once every
9 one to five years. The basic traffic count data used for analysis here were obtained from the
10 Florida and Minnesota Departments of Transportation (FDOT and MNDOT). Network-level
11 estimates of flow used for spatial error analysis came from the Austin travel model calibrated
12 and applied by the consulting firm Smart Mobility (Marshall and Grady, 2005). In addition,
13 loop detector counts along sections of several Southern California freeways were obtained via
14 PeMS, and used for spatial error analysis.

15
16 FDOT provided a CD-ROM containing traffic data of 293 ATR sites for the year 2004. For
17 FDOT's 293 ATR sites, data were available on an hourly basis and a functional class and
18 area type were associated with each site. Since ATRs sometimes switch off, get moved,
19 and/or lose their data-stream connection, 64 sites of these 293 permanent count sites had
20 incomplete traffic counts (i.e., fewer than 365 days worth of data). Table 1 provides
21 additional details (on functional class and urban/rural locations) of these ATR sites. GIS-
22 encoded maps of all ATR and short-term count locations also were provided, along with
23 AADT estimates at all 8,004 SPTC sites.

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25 Mn/DOT staff emailed 2002 traffic data for 78 ATR sites, along with short-term counts at
26 their 4,400 SPTC locations. Only 57 of the 78 ATR sites provided functional class, area type
27 and number of lanes information, so this study relies only on those 57 sites for analysis. As
28 shown in Table 1, 19 of these are coded as urban sites, and the other 38 are rural. Unlike
29 Florida, most of Minnesota's ATR sites are labeled rural (38 vs. 19 urban sites in Minnesota),
30 and lane-number information is given (as described in Table 1). In both cases, the majority
31 of sites are labeled as arterials (rather than freeways or collectors).

32
33 Figure 1 histograms illustrate typical count distributions for FDOT ATR data by site. All are
34 non-negative distributions, but no clear behavioral patterns emerge. The distributions can be
35 leftward or rightward skewed (e.g., site 0010), normally or Poisson distributed (site 0245),
36 and/or bi-modal (site 9927). The average coefficient of variation (CoV) of daily counts,
37 across count sites, is 0.881 – indicating that the standard deviation is sizable, and may be
38 close to the mean in many cases.

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40 SmartMobility's (2005) predicted counts for Austin's over-10,000 coded links also include
41 information on functional class, area type, and number of lanes (as shown in Table 1). While
42 the Austin data cover all coded links in Austin's network, they are only predictions. Actual
43 day-to-day counts may vary substantially across links, over space. For this reason, one
44 week's worth of actual count data from California's PeMS data base (PeMS 2006) also was
45 acquired. These counts come from loop detector stations along three of Southern California's
46 Interstate freeways (I 110 S, I 405 S, and I 5 N) at average spacings of 0.51, 0.58, and 0.68
47 miles, respectively. Together, the Austin and PeMS data bases provide a sense of spatial
48 variations in AADT prediction error, with the PeMS allowing a closer, more realistic look
49 (though on freeways only).

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Differences in SPTC Standards

As noted earlier, states and regions use different protocols in collecting short-term and permanent counts. These protocols impact the uncertainty or error in their AADT estimates. In Florida SPTCs are taken annually at roughly 8,000 sites, at a spatial frequency of around 0.8 centerline-miles in urban areas and generally between 2 and 10 centerline-miles in rural areas. (Florida Traffic Information 2004). In Minnesota SPTCs are taken annually at around 4,400 sites, with a spatial frequency of roughly 1 mile in the urban areas and 1.5 miles in rural areas. (Mn/DOT 2006) In contrast, Texas' short term counts are taken at approximately 80,000 sites total, at 1-, 3- or 5-year cycles, depending on whether the site lies along a state-system roadway or in a non-attainment area. They occur at an average spatial frequency of roughly 1 count per centerline mile (generally less than a mile in urban areas and potentially more than 5 miles in rural areas). (Crum 2005) Since SPTC data are taken for such a limited duration, the errors in their AADT estimates cannot be quantified without acquiring additional data. Thus, they were not examined here. However, an understanding of their duration and frequency is paramount in anticipating errors that emerge from their factoring and extrapolation over space and time.

METHODOLOGY

In this section the methods used to estimate and compare different types of error are described.

Sampling Errors and Factoring Errors

DOW and MOY factors were created on the basis of individual-site as well as grouped-site data. A year's AADT was estimated from each day's short-term count using a variation of the *Traffic Monitoring Guide's* (FHWA 2001) standard formula:

$$AADT_{est,i} = VOL_i * M_i * D_i * A_i * G_i \quad (1)$$

where $AADT_{est,i}$ is the estimate of annual average daily traffic count (vehicles per day) at location i , VOL_i is the actual 24-hour axle volume, M_i is the applicable "seasonal" (MOY) factor (which may come from a group assignment), D_i is the applicable DOW factor for factor group h , A_i is an axle-correction factor for location i , and G_i is a traffic growth factor for factor group h (for inter-sample years [and not applicable here]).

Eq. (1) can be modified as necessary, depending on the conditions used to take the short duration counts. In this study, vehicle counts (rather than axle counts) were given and analysis was done for the same year's count, so axle-correction and traffic growth factors were not required. Moreover, every ATR site had (virtually) a full-year's data, so month-of-year and day-of-week factors could be created expressly and precisely for each location. In this way, Eq. (1) becomes the following:

$$AADT_{est,i} = VOL_i * M_i * D_i \quad (2)$$

The two relevant factors for ATR site i , M_i and D_i , were calculated as follows:

$$M_i = \frac{AADT_i}{MADT_i}$$

$$D_i = \frac{AADT_i}{DADT_i}$$

where $AADT_i$ is the true AADT (an average of all 365 days' counts), $MADT_i$ is the average daily traffic for the applicable month in question, at location i , and $DADT_i$ is the average daily traffic for the applicable day in question (e.g., all Mondays in the year, or all Fridays in the year), at that location. In this way, if a particular month of the year, or day of the week, has unusually low or high counts (e.g., January and Sunday exhibit less-than-AADT traffic levels, typically), it will have a monthly or daily factor that corrects for this bias, raising or lowering the day's count to better reflect an annual (AADT) estimate. As noted, factors were created in two distinct ways: (1) using a site's own data for a set of idealized factors (resulting in estimates of pure sampling error), (2) relying on other, similar sites' data for these factors (resulting in estimates of factoring errors). For the latter approach, group membership was determined on the basis of area type (urban versus rural), functional class (freeway versus arterial, and, in the case of Florida, collector), and, in the case of Minnesota, number of lanes (2 to 4 lanes, versus 5 or more).

Error Measurement

Since both actual and estimated AADT values were available for all ATR sites, percentage errors in AADT estimation were calculated as follows:

$$\% Error_i = 100 \frac{|AADT_i - AADT_{est,i}|}{AADT_i}$$

These are computed as absolute errors, for purposes of averaging, and to achieve a sense of the overall magnitude of uncertainty inherent in relying on a single day's data and/or relying on other sites' factors.

Misclassification Error

Misclassification error occurs when a site is assigned to an incorrect ATR group. This leads to application of the average factors of the (incorrect) ATR group to the site and may cause large errors in AADT estimation at that site. For example, if an urban site is misclassified as a rural site, the average factors of the rural ATR group are applied, in order to estimate the urban site's AADT. These errors were quantified for the sites in both Florida and Minnesota when the sites were misclassified according to area type and functional class.

Spatial Error

Spatial error occurs when a roadway segment is assigned the AADT from its nearest sampling site, due to non-availability of more local counts. These errors were quantified as follows. The Smart Mobility-predicted flows on the Austin travel network were assumed to be the actual counts on each of the coded 10,594 links. Then, the midpoint of a particular link on a particular roadway was assumed to be the short term count location. The difference in flow from this location to (center points of) nearby links, along the same roadway, gave the spatial error involved in assigning the AADT at the short term count location to those links. The distance between mid-points of the links along the roadway was noted, in order to

1 appreciate how such error varies with distance from the assumed short term count site. Errors
2 were averaged for every 0.2 mile bin of values, in order to ascertain average error at a given
3 distance. Seven distinct roadway sections were chosen from the Austin network, so that they
4 included different area types, functional classes and numbers of lanes. And each provided the
5 equivalent of three short-term count sites (using different links as starting points, or count
6 sites). Thus, data for 21 hypothetical count sites was analyzed to estimate the extent of error
7 likely caused by spatial extrapolation.

8 Of course, spatial extrapolation errors are compounded by temporal extrapolation (i.e., using
9 1 day's count rather than 365 days' count, and forecasting future year's counts), mis-
10 classification, and so forth. As in the case of all these computations, actual, total errors
11 generally will be much higher, since they reflect all these sources of error.

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13 Furthermore, the Austin data are simply model predictions, rather than actual counts. Actual
14 counts may well vary greatly from day to day and link to link. To address such potential
15 variations in spatial error, a week's worth of PeMS data from 10 to 15 (consecutive) loop
16 detector stations on each of three freeways (I 110 S, I 405 S, I 5 N) were used.
17 Extrapolations were made out to almost 3 miles, and a series of 5 to 6 consecutive stations
18 were used as the "base" station (to predict downstream counts, up to 3 miles away).

20 21 **Count Durations**

22 In addition, the effects of longer short-period count durations were studied, to appreciate how
23 AADT prediction errors decline. To estimate AADT using 48- and 72-hour traffic counts,
24 the DOW and MOY factors were modified. Daily counts on consecutive calendar days were
25 combined, and 7 DOW and 12 MOY factors were created. In these cases, DOW really
26 characterized two or three consecutive days of the week. MOY factors used either one-half,
27 one-third or two-third of the multi-day counts that crossed their edges (i.e., those sequences
28 that overlapped with a different month).

29 30 **RESULTS AND DISCUSSION**

31 Figure 2 illustrates error frequencies across all sites and days for Minnesota and Florida ATR
32 data. In Minnesota, roughly 40% of the sites exhibit average AADT estimation errors within
33 6% of the actual, and very few come close to 60% error. In comparison, 32% of Florida's
34 errors fall below 6%, and quite a few break 60%, with several sites exhibiting average errors
35 as high as 90%. These results are supported by the finding that Florida ATR sites have a
36 higher overall average error in AADT prediction (14%) as compared to Minnesota sites
37 (12%).
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40 Tables 2 and 3 present the prediction error results for Florida and Minnesota. These rely on
41 the factors from similar sites (as determined by area type and functional class), and thus
42 present an actual case. Using the same approach, Figures 3 through 7 illustrate how different
43 factors affect the absolute level of errors in AADT estimation.

44
45 As can be seen from these various tables and Figures, a short-period count's day of week and
46 site classification have significant effects. Table 2 indicates that the average errors in
47 estimation of AADT range from 11.5% to 20% and the maximum errors can be as high as
48 81%. Tables 3 shows how weekdays offer more reliable predictions than weekends, and
49 urban sites tend to be more reliable for prediction than rural sites (particularly in Minnesota,
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1 where they average 3.3% higher). For example, the average error in AADT estimation across
2 Florida's ATR sites is 17.5% when using weekend counts, but just 12.8% when using
3 weekday counts. In Minnesota weekend-count-based errors average 17.8%, versus just 11.3%
4 on weekdays.

5 Area type, functional roadway class, and number of lanes are also of interest here. Figures 3
6 and 4 indicate that urban sites in Florida exhibited higher error levels than rural sites. As
7 Figures 5 and 6 illustrate, there may be little variation in uncertainty of AADT estimates
8 across freeways, arterials and collectors in Florida. Freeways exhibit only slightly greater
9 uncertainty in both cases.

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11 Figure 7 illustrates a rather significant jump (5% overall) in average estimation error for
12 roadways with 5 or more lanes, versus those with 4 or fewer lanes, suggesting that, where
13 possible, more care should be taken with counts on wider, multi-lane roadways.

14
15 Table 4 presents the results of regression analysis of percentage error on different variables,
16 including the DOW, MOY, functional class, area type and number of lanes, for both Florida
17 and Minnesota. Counts taken along rural, arterial roadways with more than 5 lanes on a
18 Sunday in January are also used as the base case, for comparison. A higher negative
19 coefficient on a particular variable means lower error levels for that day, month or roadway
20 type. For example, Minnesota's AADT errors tend to be lower on Mondays as compared to
21 Tuesdays (coefficient of -6.00% vs. -5.08%). In both Minnesota and Florida the average
22 error is quite a bit less on weekdays, as compared to weekends (as also evident in Table 3,
23 and Figures 3 through 7).

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26 In Minnesota it was found that there is no difference in error between February and January
27 and that March, July, November and December exhibit the highest errors, among months of
28 the year. In contrast to the Florida results, urban area freeways (and roadways with 4 or fewer
29 lanes) exhibited less error than their counterparts. Florida's data exhibits rather dramatic mis-
30 prediction tendencies when counts come from September and November (an issue that may
31 be specific to the 2004 data year). And errors tend to be larger along freeways and in sites
32 classified as rural (and along arterials, as compared to collectors). Average errors tend to be
33 lowest in the months of March through June in Florida (averaging 10%), and August through
34 October in Minnesota (averaging just 6%), suggesting that those periods are most suitable for
35 short term counts.

36
37 In terms of background errors in prediction, the remaining standard error of Table 4's
38 estimates is 15.8%, for both states' data. No explanatory factor in Table 4 rivals this in
39 magnitude, though days of week (for SPTC sampling) are certainly more important to get
40 right than months of the year, and the number of lanes appears to have an important effect (in
41 the Minnesota data, where this variable is coded). When one examines the magnitude of
42 error emerging from use of other sites' factors, or from spatial extrapolation, however, the
43 competition begins.

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45 Figure 8 compares the various error components (from sampling, factoring and
46 misclassification). When factors from the site's own traffic counts are used for its AADT
47 estimates, the case is ideal (and unrealistic, of course), and the absolute average error is
48 6.69%, as compared to 11.65% when factors from similar sites (properly classified) are used.
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1 When sites are misclassified, factor-related errors rise to 19.35% in Minnesota. In Florida, the
2 comparable values are 8.28% (pure sampling error, ideal factors used), 13.62% (proper
3 classification factors used) and 15.09% (misclassified factors used). Clearly, classification
4 plays a significant role.

5 Figure 9 indicates that multi-day sampling offers little in the way of error reduction,
6 averaging roughly 0.7% error reduction for each extra day of sampling (11.0 % (24 hours),
7 11.7 (48 hours) , and 12.6 (72 hours)) . These findings are comparable with the Sharma et
8 al.'s (1996) results (where error fell from 16.5% at 24 hours to 13.13% at 72 hours) and
9 Granato's (1998) results (where error fell from 11.3% at 24 hours to 10.9% at 72 hours).

10
11 Figure 10 also illustrates variations in AADT prediction error versus actual AADT. Different
12 trends are evident for different locations. For example, across Minnesota ATR sites, errors
13 decreases from roughly 20% at 2,000 vehicles per day (vpd) to just 5.54% at 120,000 vpd,
14 increasing slightly to 6.14% at 140,000 vpd. Evidently, traffic loads are much more
15 predictable on high-volume roads in Minnesota¹. For this reason, more caution probably
16 should be used while sampling lower-volume sites and applying their AADT estimates for
17 design and planning. Interestingly, in Florida, such declines do not appear, with AADT
18 estimation error ranging from 12% to 15 % across all values of AADT.

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21 Figure 11 shows the results of spatial error variation in the Austin travel model predictions.
22 The results indicate that the average error (for 23 calculations) increases with distance, as
23 expected: from 6.33% at just 0.2 miles away to a shocking 79.5% at just 1.6 miles. The
24 percentage error is much higher for urban areas as compared to rural areas, and is consistently
25 higher for 4 lane roads (as compared to 2 lane roads).

26
27 The error appears to be quite small in rural areas (e.g., 2.14% within 1 mile), supporting, to
28 some extent, the lower sampling frequencies that states show in these areas. However, such
29 errors increase beyond 1 mile. In urban sites an average error of 20% was computed at
30 distances of 0.5 miles, and 60% at 1 mile from count sites. For this reason, DOTs will no
31 doubt want to sample urban locations more frequently than every mile.

32
33 Arterials and freeways experience higher error (20%) compared to collectors (4.82%) at short
34 distances, but lower error levels at longer distances. This may be due to the limited number of
35 ramps, versus high frequency of intersections and driveways that occur along collectors.
36 Higher errors for four-lane roads (as compared to two-lane roads) are consistent with the
37 ATR results.

38
39 Finally, Figure 12 shows the variations in spatial error using PeMS 24-hour counts over the
40 course of 7 consecutive days along I 110 S, I 405 S, and I 5 N. The spatial extrapolation
41 errors rise quickly, to roughly 10% for I-5 and I-405 and around 40% for I 110. The jumps in
42 these counts at the lower intervals of distance is somewhat troubling, particularly for I 110.
43 The same day's data applied just one-half mile away yields sizable misprediction. In the case
44 of I 110, the jumps render such spatial extrapolations practically useless to analysts.

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47 ¹ Note, however, that the higher volume roads tend to be freeways in urban areas, which, in general, enjoy lower
48 AADT estimation error. Thus, 5+-lane facilities were associated with higher error rates, *ceteris paribus* (i.e.,
49 after controlling for facility type and location), in Table 4's regression results. It is important to recall that
50 factors such as total flow have global (Figure 9) as well as marginal roles.

1 Freeways are relatively well controlled roadway environments, with few points of entrance
2 and exit (though these points certainly can represent major ramps and facility merges). If
3 misprediction can be so severe in these cases (consistent with the Austin TDM evidence),
4 analysts should be highly skeptical of counts one or more miles away when seeking to
5 estimate VMT, crash rates, emissions and other variables. Perhaps a combination of upstream
6 and downstream counts will assist the prediction, as well as evidence from cross-street counts,
7 to obtain a sense of whether traffic is being added or removed from the facility of interest.
8 Alternatively, far more frequent SPTC spacings may be necessary, to ensure extrapolation
9 does not exceed 0.5 miles, except in locations where traffic loads are known to be highly
10 stable over space.

11 **CONCLUSIONS AND RECOMMENDATIONS**

12 AADT estimates are fundamental to the analysis of transportation data sets and the
13 management of transportation systems. Using three different data sets, this research helps
14 illuminate the magnitude and sources of uncertainty in their estimation. Consistent with
15 expectations and practice, the results obtained here suggest that sample counts should not be
16 taken over the weekends as there is a higher probability of error in AADT estimates. Rural
17 sites and facilities with many lanes also require greater care in Minnesota, though those with
18 higher counts in Florida tend to prove more predictable overall.

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21 Proper site classification is key, and tendencies may vary by state. These analyses of ATR
22 data can be performed by any agency, to assess whether certain roadway types or times of
23 year require greater sampling caution. Fine clustering, on the basis of functional class, lane
24 count, and multiple area types, may prove very useful.

25
26 Spatial errors can increase dramatically beyond 0.5 miles (from the count site) in urban areas
27 and 1 mile in rural areas; thus, caution is needed when assigning the AADT estimate for the
28 nearest SPTC site to a roadway segment, and additional SPTC locations may be most prudent,
29 particularly in locations where counts average less than 1 per mile.

30
31 Appreciation of the uncertainties inherent in AADT and VMT estimates is paramount for
32 robust evaluations of crash rates, pavement deterioration, and other transportation data. This
33 research seeks to enlighten the use of such estimates, and thereby enhance transport decision
34 making. The results of this work can serve as base estimates for how such errors vary by area
35 type, functional class, number of lanes and distance to nearest SPTC station. Given the
36 magnitudes of errors witnessed here, transportation agencies may wish to increase the spatial
37 frequency of their SPTCs as well as pay closer attention to urban freeways and other facilities
38 exhibiting the greatest predictive errors.

39 **ACKNOWLEDGEMENTS**

40
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42 Florida DOT, and Smart Mobility's Norm Marshall and Brian Grady for their ready
43 willingness to share data. We appreciate Jason Lemp's assistance with statistical software,
44 Texas DOT's research sponsorship (under project 0-5191), and Annette Perrone's
45 administrative assistance.
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Table 1. Data Description (Number of sites)

Classification	Sub-division	MNDOT	FDOT	Austin
		Number of sites (n)		Number of links
Area type	Urban	19	139	5822
	Rural	38	154	4772
Functional Class	Arterial	37	130	4807
	Collector	–	17	681
	Freeway	20	73	796
Number of lanes	1	0	–	394
	2	22	–	7550
	3	0	–	636
	4	28	–	1748
	5 or more lanes	8	–	266

Note: Florida did not provide lane count information, and none of the Minnesota sites was labeled as a collector.

Table 2. Average errors in AADT estimation for different site classification schemes

Classification	Sub-classification	State DOT (#)	Absolute Avg. Error (%)			
			Min.	Max.	Mean	Std. Dev.
Area Type	Urban	MNDOT (n=19)	4.89	81.14	11.47	17.08
		FDOT (n=123)	5.62	37.77	14.28	6.06
	Rural	MNDOT (n=38)	7.06	38.8	12.84	5.88
		FDOT (n=153)	5.27	34.71	13.26	4.86
Functional Class	Arterial	MNDOT (n=37)	7.33	40.81	13.25	6.23
		FDOT (n=123)	5.62	37.77	14.28	6.06
	Collector	MNDOT (n=0)	N/A	N/A	N/A	N/A
		FDOT (n=17)	8.06	21.99	13.96	3.68
	Freeway	MNDOT (n=20)	5.99	83.22	14.6	16.93
		FDOT (n=73)	6.66	40.14	15.24	6.24
Number of Lanes	4 or fewer lanes	MNDOT (n=49)	6.87	41.82	13.06	6.24
	5 or more lanes	MNDOT (n=8)	8.4	80.17	18.48	24.97

Table 3. Error comparisons between weekdays and weekends

Classification	Sub-classification	State DOT (#)	Absolute Avg. Error (%)	
			Weekend	Weekday
Area Type	Urban	MNDOT (n=19)	11.33	9.47
		FDOT (n=123)	17.57	12.74
	Rural	MNDOT (n=38)	16.03	12.21
		FDOT (n=153)	17.77	11.26
Functional Class	Arterial	MNDOT (n=37)	16.50	11.95
		FDOT (n=123)	17.54	12.75
	Collector	MNDOT (n=0)	N/A	N/A
		FDOT (n=17)	18.49	12.16
	Freeway	MNDOT (n=20)	18.68	12.97
		FDOT (n=73)	19.00	13.16
Lanes	4 or fewer lanes	MNDOT (n=49)	16.91	11.52
	5 or more lanes	MNDOT (n=8)	20.46	17.69

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Table 4. Regression analysis with dependent variable as error

Variable	MNDOT		FDOT	
	Beta	t-statistic	Beta	t-statistic
(Constant)	24.738	45.9	19.284	84.1
Monday	-6.004	-14.7	-8.770	-44.4
Tuesday	-5.082	-12.5	-8.933	-45.2
Wednesday	-4.999	-12.2	-8.998	-45.5
Thursday	-6.079	-14.8	-9.643	-49.0
Friday	-6.890	-16.8	-9.853	-50.0
Saturday	-3.196	-7.8	-6.701	-34.0
February	0.000	N/A	-0.508	-2.0
March	2.575	5.6	-2.222	-8.7
April	-0.906	-1.9	-1.958	-7.6
May	-2.703	-5.8	-2.125	-8.4
June	-3.194	-6.8	-2.484	-9.6
July	0.825	1.8	-0.679	-2.7
August	-0.757	-1.6	-0.283	-1.1
September	-1.527	-3.3	11.747	45.5
October	-1.445	-3.1	-0.070	-0.3
November	1.364	2.9	9.788	37.7
December	1.807	3.9	1.359	5.3
Urban	-3.202	-10.9	0.929	8.7
Collector	N/A	N/A	-0.129	-0.6
Freeway	-0.976	-3.4	2.400	17.7
4 or fewer lanes	-6.994	-19.1	-	-
Adj. R Square	0.0476	y= Error %	0.1106	y= Error %
Std. Error of Y X	15.766		15.826	
N _{obs}	57		293	

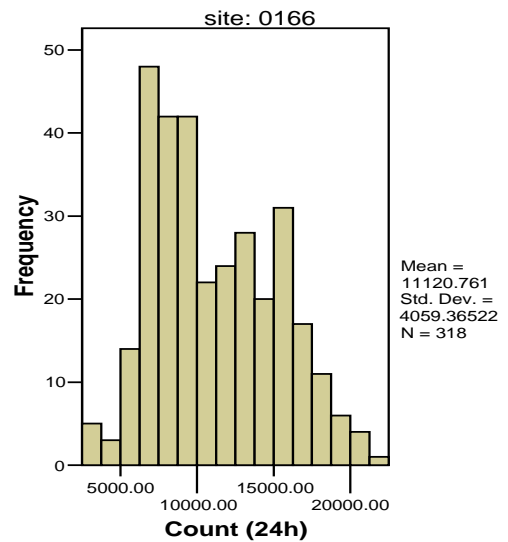
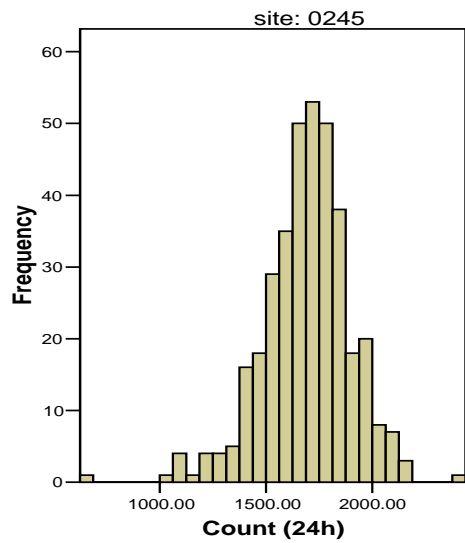
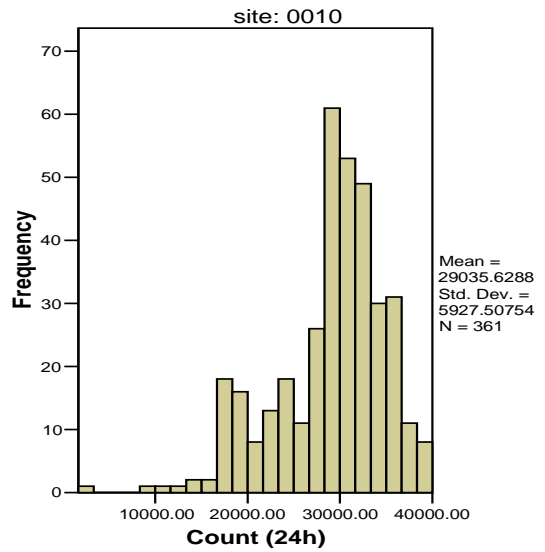
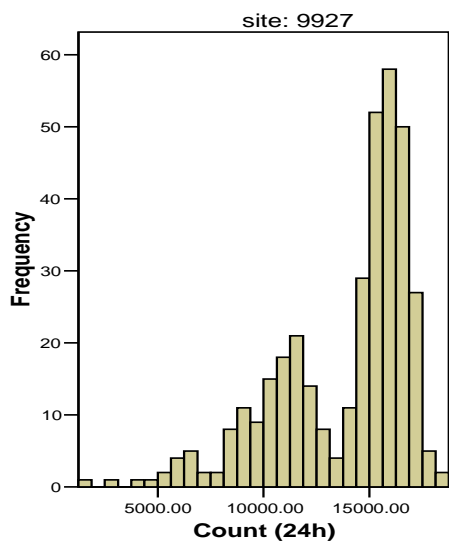


Figure 1 Example traffic count histograms (using Florida's ATR data)

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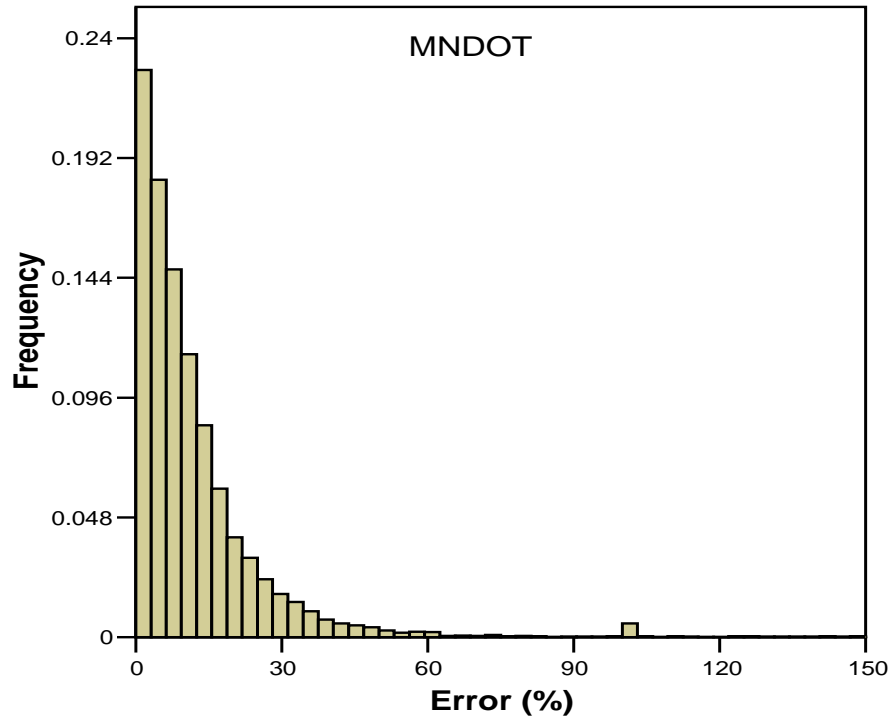
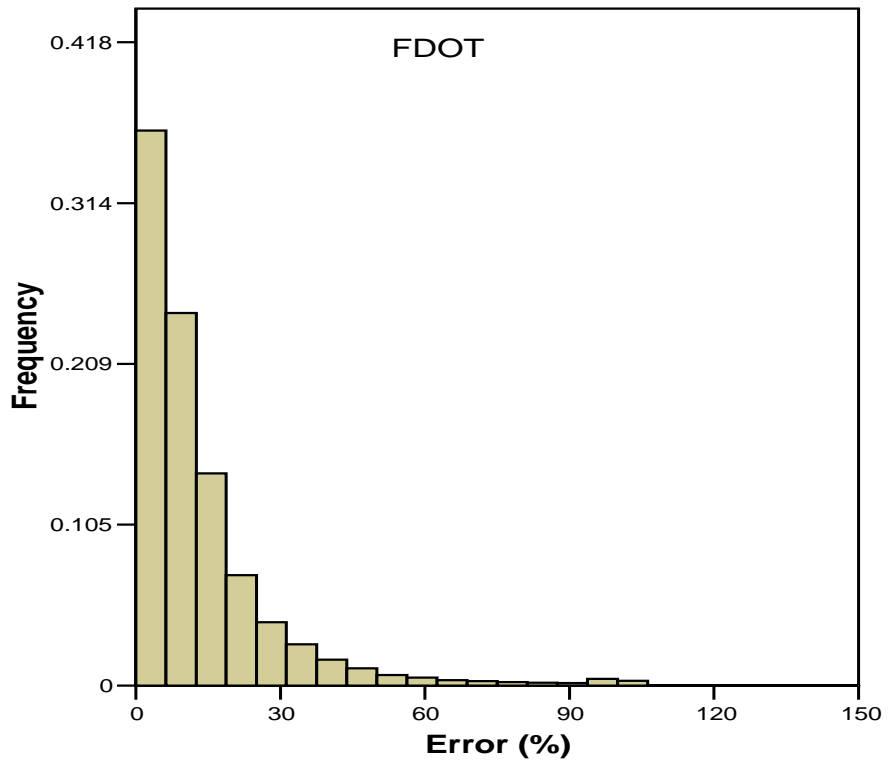


Figure 2 Error frequencies across all sites and days for Minnesota and Florida ATR data

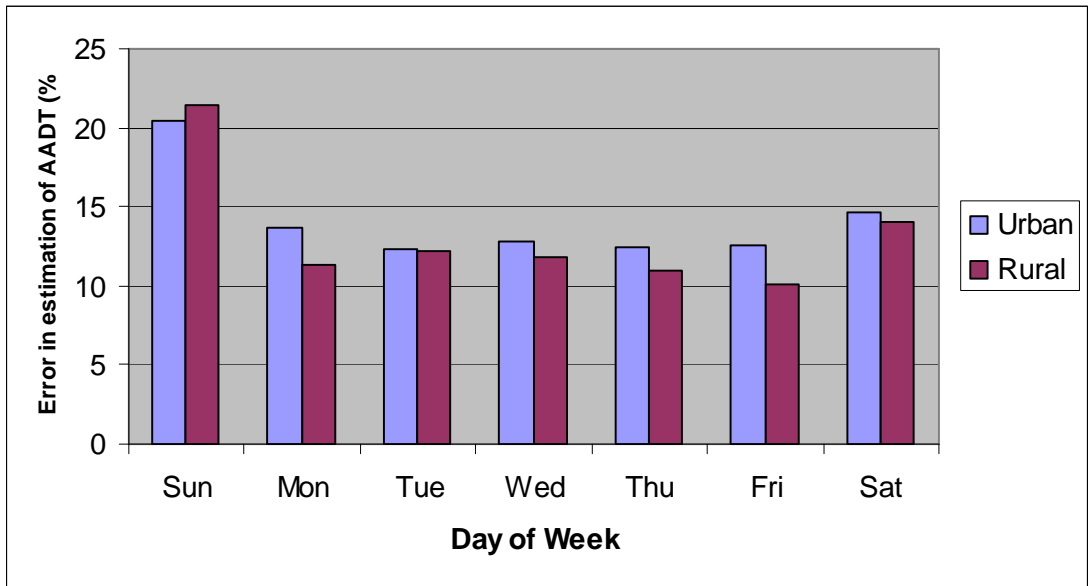


Figure 3 Variation in AADT estimate errors by day of week and location (using Florida's ATR data)

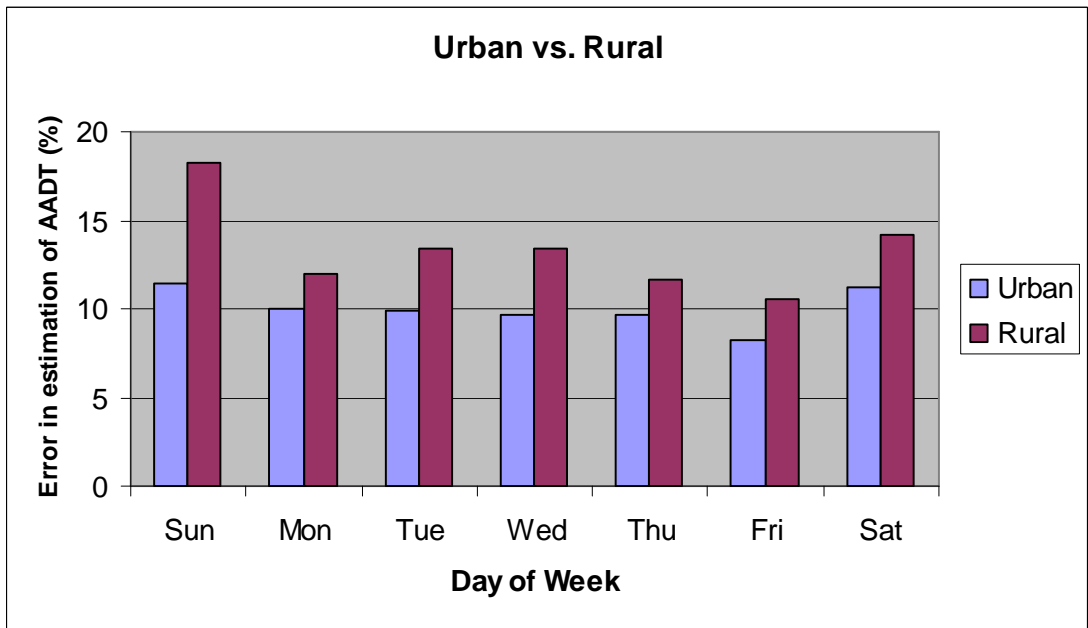


Figure 4 Variation in AADT estimate errors by day of week and location (using Minnesota's ATR data)

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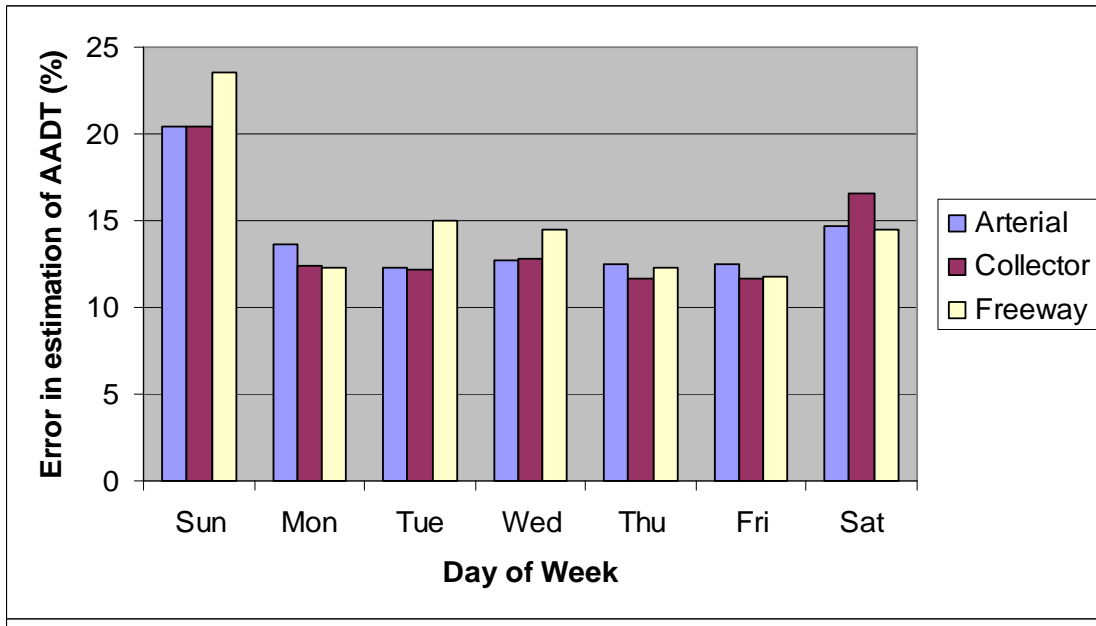


Figure 5 Variation in AADT estimate errors by day of week and functional class (using Florida's ATR data)

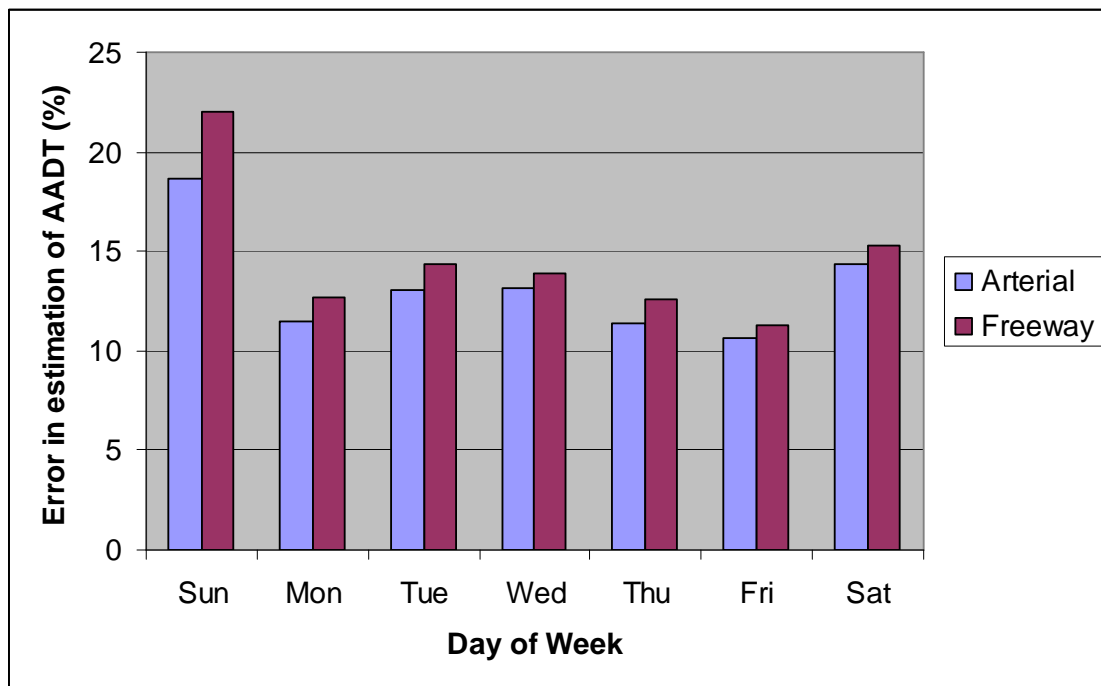


Figure 6 Variation in AADT estimate error by day of week and functional class (using Minnesota's ATR data)

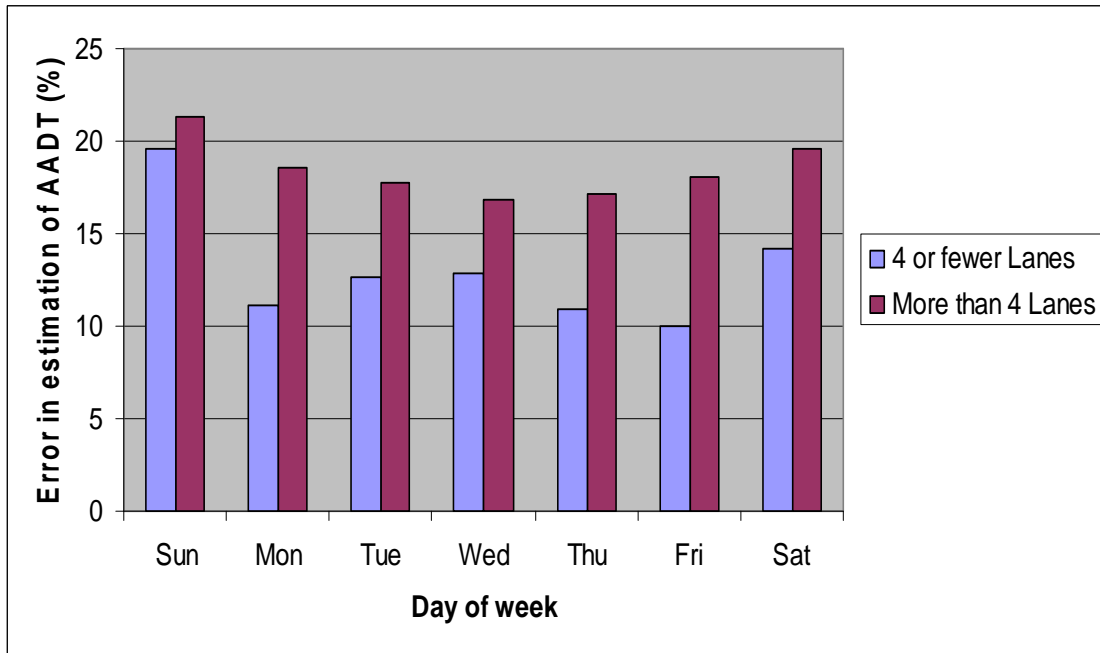


Figure 7 Variation in AADT estimate errors by day of week and number of lanes (using Minnesota's ATR data)

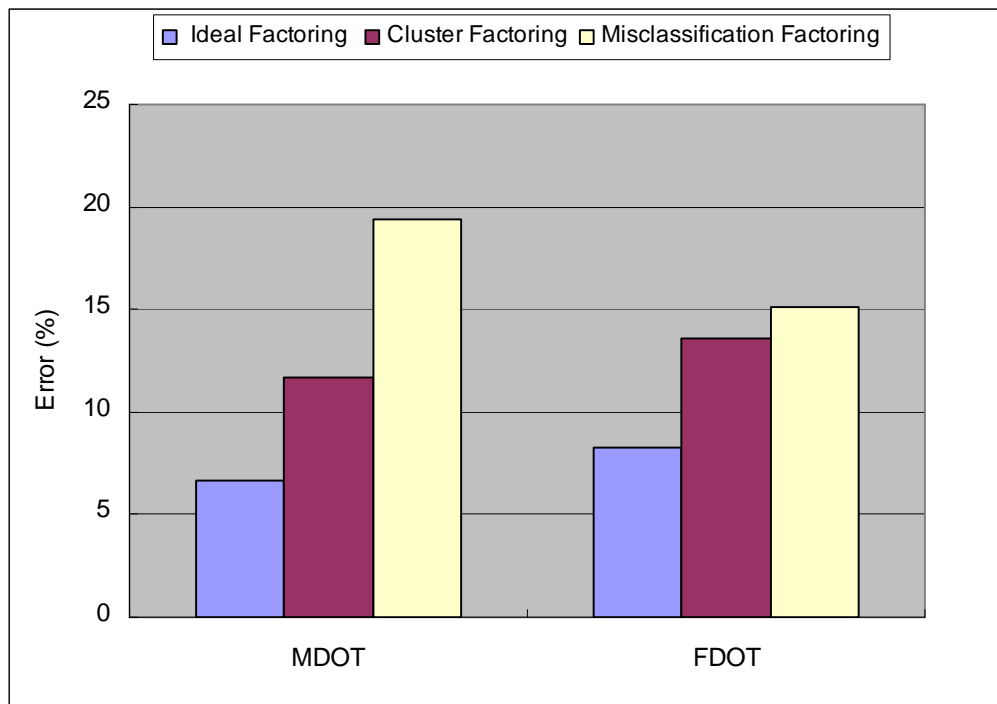


Figure 8 Variation in AADT estimate error by factoring method used (using Florida's and Minnesota's ATR data)

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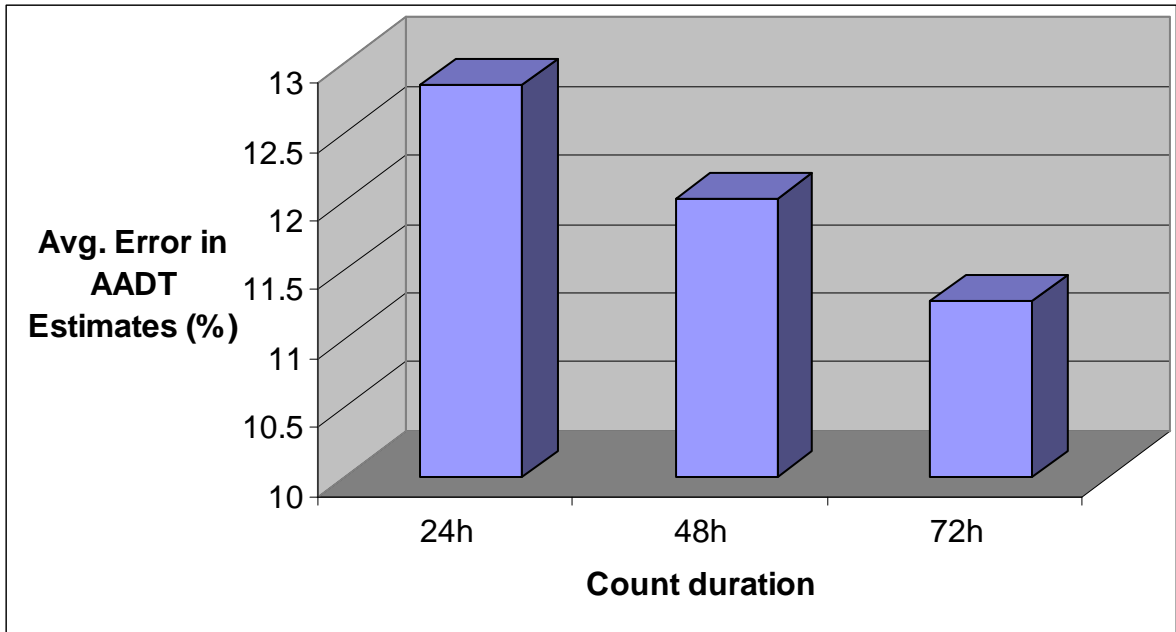


Figure 9 Effect of count duration on AADT estimate error (using Florida' ATR data)

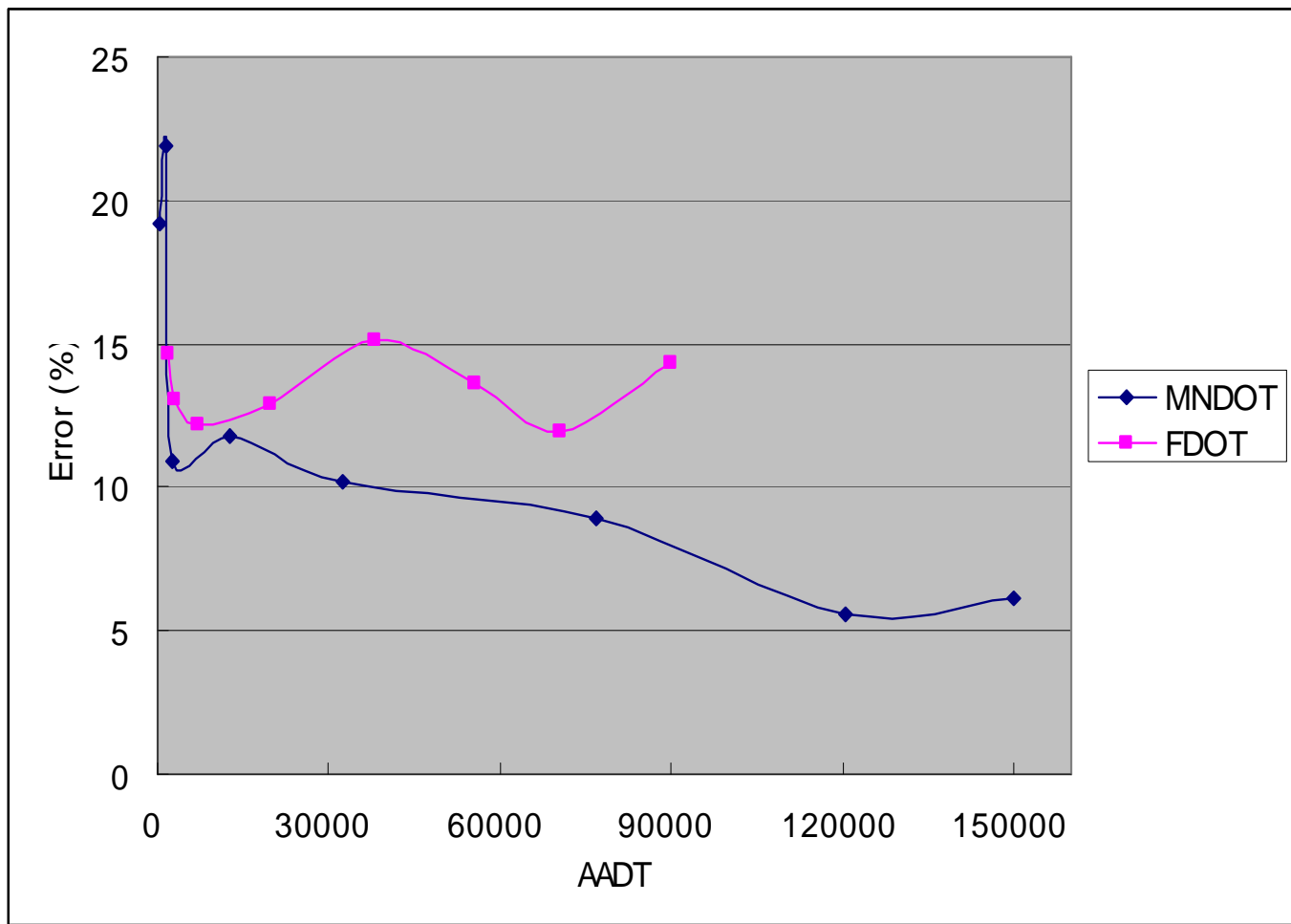


Figure 10 Variation in AADT estimate error, as a function of AADT (using Florida's and Minnesota's ATR data)

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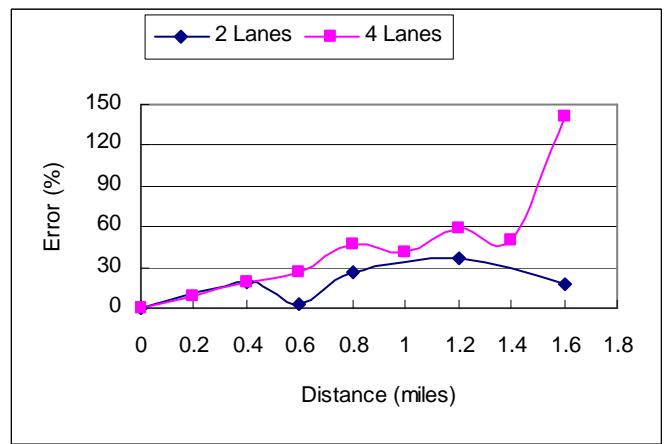
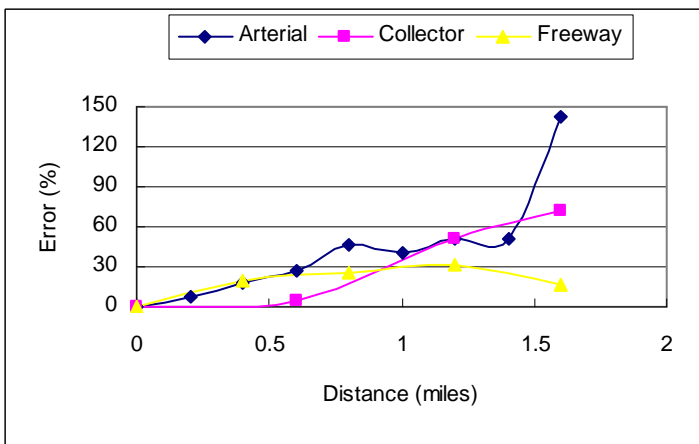
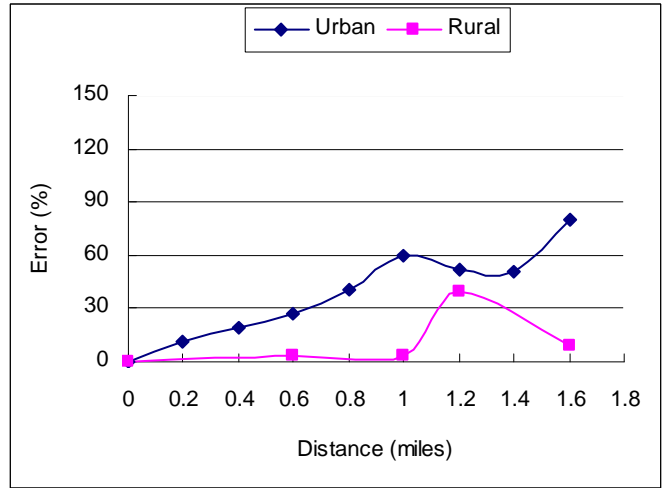
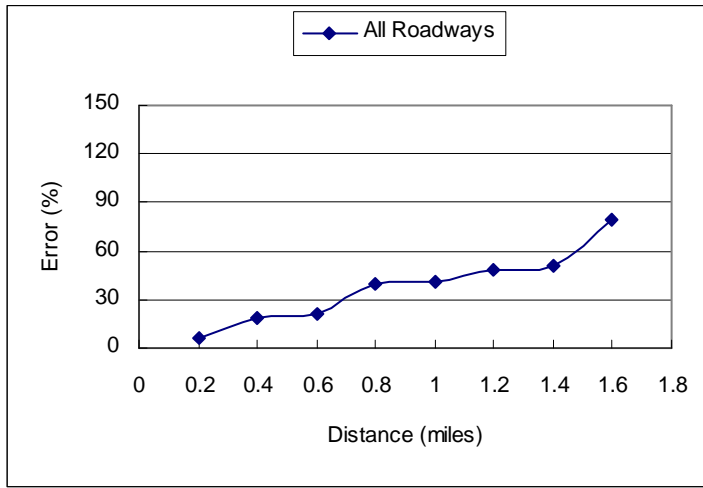


Figure 11 Spatial variation in AADT estimate error for different roadway and location types (using Austin TDM data)

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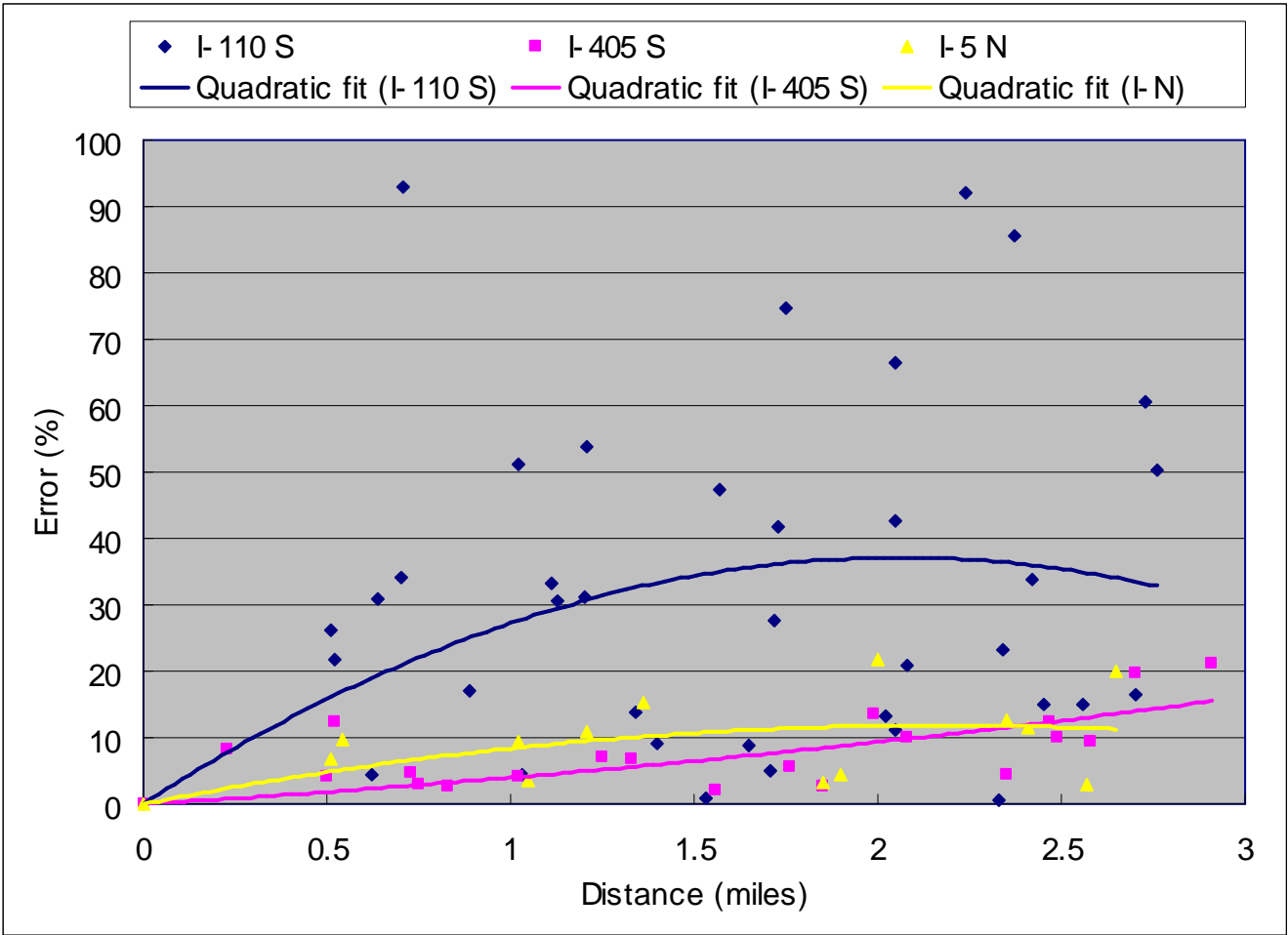


Figure 12. Spatial variation in AADT estimate error for freeway sites using one week's worth of PeMS data at 3 Southern California sites